

# Primary and Other Decompositions of Binomial Ideals

Thomas Kahle

Max Planck Institute for Mathematics in the Sciences  
Leipzig, Germany

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# Setting

In this talk we are concerned with ideals in the polynomial ring

$$S := \mathbb{k}[x_1, \dots, x_n],$$

with  $\mathbb{k}$  a field of characteristic zero, algebraically closed “when needed”.

- An **ideal**  $I$  is an additive subgroup of  $S$  such that for all  $s \in S$  and  $f \in I$  one has  $fs \in I$ .
- The **quotient ring** is  $S/I$ .
- A **zerodivisor**  $f \in S/I$  if  $\exists g \neq 0 \in S/I$  with  $gf = 0$ .
- The **saturation**  $(I : J^\infty)$  of  $I$  with respect to  $J$  is

$$(I : J^\infty) := \{f \in S : \exists g \in J, g^m f \in I\}.$$

## Setting II

- An ideal  $P$  is called **prime** if every  $f \in S/P$  is a non-zerodivisor i.e.  $(I : f^\infty) = I$ .
- It is called **primary** if every  $f \in S/P$  is either a non-zerodivisor or nilpotent, i.e.  $f^k \in P$ .
- A prime  $P$  is **associated** to an ideal  $I$  if  $P = (I : f) := \{g \in S : fg \in I\}$ .
- A **primary decomposition** is writing  $I$  as

$$I = P_1 \cap P_2 \cap \dots \cap P_r.$$

with primary ideals  $P_i$ .

# Binomial Ideals

- A **binomial ideal** is an ideal whose generators can be chosen to be differences of monomials.
- A **pure difference ideal** is an ideal whose generators are differences of monic monomials.

Monomial Notation :  $x^m = \prod_{i=1}^n x_i^{m_i}$ .

## Binomial Ideals are combinatorial

A binomial is characterized by a vector  $m \in \mathbb{Z}^n$  and a coefficient  $c \in \mathbb{k}$ :

$$x^{m^+} - cx^{m^-}$$

with  $m_i^+ := \max \{0, m_i\}$  so that  $m = m^+ - m^-$ .

# Theory of Binomial Ideals

The theory of binomial ideals was developed in  
“Binomial Ideals” (Eisenbud / Sturmfels, 1996).

## Again Binomial:

- Gröbner bases are binomial.
- Associated Primes and the radical are binomial.
- Primary components can be chosen binomial.

However, quotients of binomial ideals by monomial ideals, or single binomials need not be binomial. Intersections are not binomial.

# Field requirements

Each binomial ideal has a primary decomposition into binomial ideals, if  $\mathbb{k}$  is algebraically closed:

Primary decomposition over  $\mathbb{Q}$

$$\langle x^3 - 1 \rangle = \langle x - 1 \rangle \cap \langle x^2 + x + 1 \rangle$$

Primary decomposition over  $\mathbb{C}$

$$\langle x^3 - 1 \rangle = \langle x - 1 \rangle \cap \langle x - \xi_3 \rangle \cap \langle x - \xi_3^2 \rangle$$

Today we only mean the second one.

# Examples

- *Toric ideals* are binomial prime ideals  $\rightarrow$  discrete models in Algebraic Statistics.
- *Conditional independence ideals* characterize probability distributions with certain independence relations.
- *Semigraphoid ideals* are tools for the formal manipulation of statements using inference rules.
- *Commutative semigroup rings* are quotients of  $S$  by pure difference ideals.
- *Lattice ideals* are defined by ...



# Partial characters

## Definition

- A **partial character** is a pair  $(\mathcal{L}, \sigma)$ , with  $\mathcal{L} \subseteq \mathbb{Z}^n$  an integer lattice,  $\sigma : \mathcal{L} \rightarrow \mathbb{k}^*$  a homomorphism.
- For each integer lattice  $\mathcal{L} \subseteq \mathbb{Z}^n$ , we define its **saturation**

$$\text{Sat}(\mathcal{L}) := \{m \in \mathbb{Z}^n : dm \in \mathcal{L} \text{ for some } d \in \mathbb{Z}\}.$$

A partial character  $(\mathcal{L}, \sigma)$  is called **saturated** if  $\mathcal{L} = \text{Sat}(\mathcal{L})$ , and it is called a **saturation** of a partial character  $(\mathcal{L}', \sigma')$ , provided that  $\mathcal{L} = \text{Sat}(\mathcal{L}')$  and  $\sigma'(l) = \sigma(l)$ ,  $\forall l \in \mathcal{L}'$ .

# Lattice Ideals

This data defines a lattice ideal:

Definition (Lattice ideal)

$$I_+(\sigma) := \left\langle x^{m^+} - \sigma(m)x^{m^-} : m \in \mathcal{L} \right\rangle.$$

Minimal generators of lattice ideals can be computed via saturation. If  $B$  is a lattice basis then

$$I_+(\sigma) = \left\langle x^{b^+} - \sigma(b)x^{b^-} : b \in B \right\rangle : \left( \prod_{i=1}^n x_i \right)^\infty.$$

Trivial character & saturated lattice: pure toric ideals  $\implies$  4ti2.

# Cellular Ideals

The next class of binomial ideals “lattice ideals + some monomials”

## Definition

- An ideal  $I$  is called *cellular* if every variable is either a non-zerodivisor or nilpotent in  $S/I$ .

Call  $\mathcal{E}$ , the set of cellular variables, that is the non-zerodivisors. Since every other variable is nilpotent, for an exponent vector  $d = (d_i)_{i \notin \mathcal{E}}$ .

$$M(\mathcal{E})^d := \langle x_i^{d_i} : i \notin \mathcal{E} \rangle$$

is contained in  $I$ .

## Cellular Ideals II

### Lemma

*An ideal  $I$  is cellular iff, exist  $\mathcal{E} \subseteq \{1, \dots, n\}$ , and  $d = (d_i)_{i \notin \mathcal{E}}$ :*

$$I = \left( I + M(\mathcal{E})^d \right) : \left( \prod_{i \in \mathcal{E}} x_i \right)^\infty.$$

The variety of a cellular ideal has its points in the algebraic torus

$$(\mathbb{k}^*)^{\mathcal{E}} := \{ (x_1, \dots, x_n) \in \mathbb{k}^n : x_i \neq 0, i \in \mathcal{E} \text{ and } x_j = 0, \forall j \notin \mathcal{E} \}.$$

Every further analysis of a binomial variety starts with a cellular decomposition.

# Approximating ideals

This can be achieved by Noetherian approximation:

## Lemma (ES96, Proposition 7.2)

*Let  $I$  be an ideal in a Noetherian ring  $S$  and  $g \in S$  such that  $(I : g) = (I : g^\infty)$ . Then*

- ❶  $I = (I : g) \cap (I + \langle g \rangle)$ .
- ❷  $\text{Ass}(S/(I : g)) \cap \text{Ass}(S/(I + \langle g \rangle)) = \emptyset$ .
- ❸ *A minimal primary decomposition of  $I$  consists of the primary components of  $(I : g)$  and those primary components of  $I + \langle g \rangle$  that correspond to associated primes of  $I$ .*

# Cellular decomposition

This can be turned into a very simple algorithm:

Input:  $I$ , a binomial ideal.

Output: A cellular decomposition of  $I$

- 1 If  $I$  is cellular, return  $I$ .
- 2 Else, choose a variable which is a zerodivisor but not nilpotent modulo  $I$ .
- 3 Determine the power  $s$  such that  $(I : x_i^s) = (I : x_i^\infty)$ .
- 4 Iterate with  $(I : x_i^s)$  and  $I + \langle x_i^s \rangle$ .

Termination of this algorithm is ensured since  $S$  is Noetherian and the two new ideals are properly containing  $I$ .

# Minimal Primes

A radical cellular ideal is of the form  $M(\mathcal{E}) + I_+(\sigma)$ , its associated primes are given by saturations of  $\sigma$ .

Idea: Modify cellular decomposition to directly decompose the radical of  $I$ .

- 1 If  $I$  is cellular, collect its minimal primes.
- 2 Else, choose a variable which is a zerodivisor but not nilpotent modulo  $I$ .
- 3 Iterate with  $(I : x_i^\infty)$  and  $I + \langle x_i \rangle$ .

# Commuting Birth and Death Ideals

Everything started with a computational challenge from  
“Commuting birth-death processes” (Evans/Sturmfels/Uhler '08)

*Current general-purpose implementations of primary decomposition are not able to perform the decomposition for the  $2 \times 2$  grid.*

The new Macaulay 2 package *Binomials* is able to do it...

... and more



# A non-radical Commuting Birth and Death Ideal

$$\begin{aligned}
 I^{(2,3)} := \langle & U_{00}R_{01} - R_{00}U_{10}, & R_{01}D_{11} - D_{01}R_{00}, & D_{11}L_{10} - L_{11}D_{01}, \\
 & L_{10}U_{00} - U_{10}L_{11}, & U_{01}R_{02} - R_{01}U_{11}, & R_{02}D_{12} - D_{02}R_{01}, \\
 & D_{12}L_{11} - L_{12}D_{02}, & L_{11}U_{01} - U_{11}L_{12}, & U_{02}R_{03} - R_{02}U_{12}, \\
 & R_{03}D_{13} - D_{03}R_{02}, & D_{13}L_{12} - L_{13}D_{03}, & L_{12}U_{02} - U_{12}L_{13}, \\
 & U_{10}R_{11} - R_{10}U_{20}, & R_{11}D_{21} - D_{11}R_{10}, & D_{21}L_{20} - L_{21}D_{11}, \\
 & L_{20}U_{10} - U_{20}L_{21}, & U_{11}R_{12} - R_{11}U_{21}, & R_{12}D_{22} - D_{12}R_{11}, \\
 & D_{22}L_{21} - L_{22}D_{12}, & L_{21}U_{11} - U_{21}L_{22}, & U_{12}R_{13} - R_{12}U_{22}, \\
 & R_{13}D_{23} - D_{13}R_{12}, & D_{23}L_{22} - L_{23}D_{13}, & L_{22}U_{12} - U_{22}L_{23} \rangle
 \end{aligned}$$

is not radical, but the intersection of 2638 primary components, 10 of which are not prime.

# Conclusion

## Implementation problems

- Compute  $I : (\prod_{i \in \mathcal{E}} x_i)$  faster!  $\rightarrow$  4ti2
- Everything for finite fields.

## Theoretical problem

What is the “finest” decomposition of a pure difference ideal into binomial ideals defined over  $\mathbb{Q}$ ?

Please download and use *Binomials*!

<http://personal-homepages.mis.mpg.de/kahle/bpd/>