

# Abelian ideals in a Borel subalgebra of a complex simple Lie algebra

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# Basic definitions

- Let  $\mathfrak{g}$  denote a complex simple Lie algebra and  $\mathfrak{b}$  a fixed Borel subalgebra ( i.e. a maximal solvable subalgebra of  $\mathfrak{g}$ )
- $\mathfrak{g}$  can be decomposed as follows

$$\mathfrak{g} = \mathfrak{h} \oplus \bigoplus_{\alpha \in \Phi} \mathfrak{g}_{\alpha}$$

where  $\mathfrak{h}$  is the Cartan subalgebra and  $\Phi$  the set of roots of  $\mathfrak{g}$

There exists a set of roots,  $\Pi := \{\alpha_1, \dots, \alpha_r\}$  which form a basis for  $\Phi^+$  such that any other root  $\alpha$  can be expressed as  $\alpha = \sum_{i=1}^r n_i^\alpha \alpha_i$  where all the  $n_i^\alpha$  are either all non-negative, in which case we call  $\alpha$  a positive root, or all non-positive in which case we call  $\alpha$  a negative root. The elements of  $\Pi$  are known as simple roots.

- The height of a root  $\alpha$  is defined as,  $ht(\alpha) = \sum_{i=1}^r n^{\alpha_i}$
- There exists a unique root  $\tilde{\alpha}$  such that  $ht(\tilde{\alpha}) > ht(\alpha)$  for all other  $\alpha \in \Phi$ , called the highest root.

- The fundamental weights  $\{\omega_1, \dots, \omega_r\}$  are defined by the condition;

$$(\omega_i, 2\alpha_j) := (\alpha_i, \alpha_j)\delta_{ij}$$

for all  $i$  and  $j$ , where  $(,)$  is an invariant inner product normalized so that  $(\tilde{\alpha}, \tilde{\alpha}) = 2$ . This inner product is related to that induced on  $E$  from the killing form by  $(, ) := \frac{1}{2g} \langle, \rangle$ , and the number  $g$  is known as the dual Coxeter number.

# Tools

The following lemma is a basic tool for investigations on root systems.

## Lemma

*Given two non proportional roots  $\alpha, \beta \in \Phi$  then*

*If  $(\alpha, \beta) > 0$  the  $\alpha - \beta$  is a root.*

*If  $(\alpha, \beta) < 0$  then  $\alpha + \beta$  is a root.*

*If  $(\alpha, \beta) = 0$  and  $\alpha + \beta \in \Phi$  then  $\alpha - \beta \in \Phi$*

# Abelian Ideals as subsets of $\Phi^+$

Let  $\mathfrak{a} \subset \mathfrak{b}$  be an abelian ideal of a Borel subalgebra  $\mathfrak{b}$  of a Lie algebra  $\mathfrak{g}$ . Since  $\mathfrak{a}$  is an ideal it is  $\text{ad-}\mathfrak{h}$  stable and hence compatible with the root space decomposition. Since  $\mathfrak{a}$  is abelian, it lies inside the nilpotent radical  $\mathfrak{n} := [\mathfrak{b}, \mathfrak{b}]$ , hence  $\mathfrak{a}$  is of the form  $\mathfrak{a} = \bigoplus_{\psi \in \Psi} \mathfrak{g}_{\psi}$  for some subset  $\Psi \subseteq \Phi^+$ . Using the fact that  $[\mathfrak{g}_{\alpha}, \mathfrak{g}_{\beta}] \subseteq \mathfrak{g}_{\alpha+\beta}$  we see

- (i) The ideal property for  $\mathfrak{a}$  translates into the condition for  $\Psi$  that,  $\Psi + \Phi^+ := (\Psi + \Phi^+) \cap \Phi^+ \subseteq \Psi$
- (ii) The abelian condition becomes  $\Psi + \Psi := (\Psi + \Psi) \cap \Phi^+ = \emptyset$ .

We now have a bijection between abelian ideals  $\mathfrak{a} \subset \mathfrak{b}$  and subsets  $\Psi \subset \Phi^+$  that satisfy (i) and (ii).



# Illustration

To illustrate this consider the  $G_2$  root system, show below

No.	$ht$	$\alpha = \sum_{i=1}^r n_i^\alpha \alpha_i$	$\sum_{i=1}^r m_i^\alpha \omega_i$
1	1	$(1, 0)$	$(2, -1)$
2	1	$(0, 1)$	$(-3, 2)$
3	2	$(1, 1)$	$(-1, 1)$
4	3	$(2, 1)$	$(1, 0)$
5	4	$(3, 1)$	$(3, -1)$
6	5	$(3, 2)$	$(0, 1)$

Table:  $G_2$

# Illustration

- The set  $\{\alpha_1, \alpha_2, \alpha_3\}$  is not abelian since  $\alpha_1 + \alpha_2 = \alpha_3 \in \Phi^+$
- The set  $\{\alpha_6, \alpha_5, \alpha_4\}$  is abelian since  $n_1^\alpha \geq 2$ .
- The set  $I := \{\alpha_1, \alpha_6\}$  is not an ideal, since  $\alpha_1 + \alpha_2 \in \Phi^+$  and  $\alpha_1 + \alpha_2 \notin I$ .
- The set  $J := \{\alpha_5, \alpha_6\}$  is an ideal, since if  $\alpha + J \in \Phi^+$ , then  $\alpha + J \in J$ .

## Example of the difficulty

The root systems of most classical and exceptional Lie algebras contain far more roots than the above example. We provide a graphical illustration of this for the exceptional Lie algebra of  $E_6$  which has 36 positive roots.

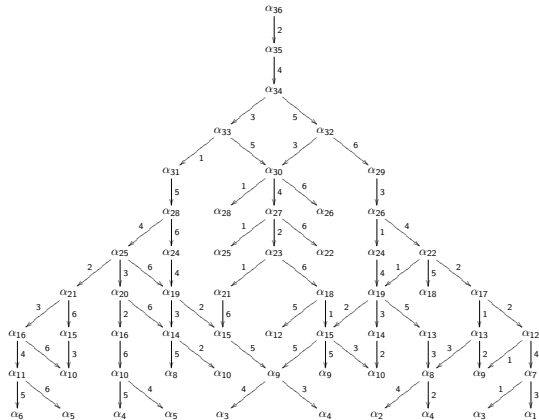


Figure: The Root Tree of  $E_6$

Our results.

### ■ Theorem

*Given a root system of a type  $H$  Lie algebra such that  $n_i^{\tilde{\alpha}} = 1$ , we define the set  $I := \{\alpha \in \Phi^+ | n_i^{\alpha} = 1\}$ . Then  $\mathfrak{a}_I := \bigoplus_{\alpha \in I} \mathfrak{g}_{\alpha}$  is a maximal abelian ideal of dimension  $g(\omega_i, \omega_i)$ , where  $g$  is the dual Coxeter number.*

### ■ Theorem

*Given a root system of a type  $H$  Lie algebra other than  $B_n$ , let  $k$  be a persistent index of highest order, then the abelian ideal  $\mathfrak{a}_I$  where  $I = \{\alpha \in \Phi^+ | n_k^{\alpha} = 1\}$  is of maximum dimension.*

# Computational example

Let  $\mathfrak{g}$  be the Lie algebra,  $E_6$  with 36 positive roots, shown below. We now apply the two theorems above to find a maximal abelian ideals in  $E_6$  of maximum dimension

No.	$ht$	$\alpha = \sum_{i=1}^r n_i^\alpha \alpha_i$	$\sum_{i=1}^r m_i^\alpha \omega_i$
1	1	$(1, 0, 0, 0, 0, 0)$	$(2, 0, -1, 0, 0, 0)$
2	1	$(0, 1, 0, 0, 0, 0)$	$(0, 2, 0, -1, 0, 0)$
3	1	$(0, 0, 1, 0, 0, 0)$	$(-1, 0, 2, -1, 0, 0)$
4	1	$(0, 0, 0, 1, 0, 0)$	$(0, -1, -1, 2, -1, 0)$
5	1	$(0, 0, 0, 0, 1, 0)$	$(0, 0, 0, -1, 2, -1)$
6	1	$(0, 0, 0, 0, 0, 1)$	$(0, 0, 0, 0, -1, 2)$
7	2	$(1, 0, 1, 0, 0, 0)$	$(1, 0, 1, -1, 0, 0)$
8	2	$(0, 1, 0, 1, 0, 0)$	$(0, 1, -1, 1, -1, 0)$
9	2	$(0, 0, 1, 1, 0, 0)$	$(-1, -1, 1, 1, -1, 0)$
10	2	$(0, 0, 0, 1, 1, 0)$	$(0, -1, -1, 1, 1, -1)$
11	2	$(0, 0, 0, 0, 1, 1)$	$(0, 0, 0, -1, 1, 1)$
12	3	$(1, 0, 1, 1, 0, 0)$	$(1, -1, 0, 1, -1, 0)$
13	3	$(0, 1, 1, 1, 0, 0)$	$(-1, 1, 1, 0, -1, 0)$
14	3	$(0, 1, 0, 1, 1, 0)$	$(0, 1, -1, 0, 1, -1)$
15	3	$(0, 0, 1, 1, 1, 0)$	$(-1, -1, 1, 0, 1, -1)$

No.	$ht$	$\alpha = \sum_{i=1}^r n_i^\alpha \alpha_i$	$\sum_{i=1}^r m_i^\alpha \omega_i$
16	3	$(0, 0, 0, 1, 1, 1)$	$(0, -1, -1, 1, 0, 1)$
17	4	$(1, 1, 1, 1, 0, 0)$	$(1, 1, 0, 0, -1, 0)$
18	4	$(1, 0, 1, 1, 1, 0)$	$(1, -1, 0, 0, 1, -1)$
19	4	$(0, 1, 1, 1, 1, 0)$	$(-1, 1, 1, -1, 1, -1)$
20	4	$(0, 1, 0, 1, 1, 1)$	$(0, 1, -1, 0, 0, 1)$
21	4	$(0, 0, 1, 1, 1, 1)$	$(-1, -1, 1, 0, 0, 1)$
22	5	$(1, 1, 1, 1, 1, 0)$	$(1, 1, 0, -1, 1, -1)$
23	5	$(1, 0, 1, 1, 1, 1)$	$(1, -1, 0, 0, 0, 1)$
24	5	$(0, 1, 1, 2, 1, 0)$	$(-1, 0, 0, 1, 0, -1)$
25	5	$(0, 1, 1, 1, 1, 1)$	$(-1, 1, 1, -1, 0, 1)$
26	6	$(1, 1, 1, 2, 1, 0)$	$(1, 0, -1, 1, 0, -1)$
27	6	$(1, 1, 1, 1, 1, 1)$	$(1, 1, 0, -1, 0, 1)$
28	6	$(0, 1, 1, 2, 1, 1)$	$(-1, 0, 0, 1, -1, 1)$
29	7	$(1, 1, 2, 2, 1, 0)$	$(0, 0, 1, 0, 0, -1)$



No.	$ht$	$\alpha = \sum_{i=1}^r n_i^\alpha \alpha_i$	$\sum_{i=1}^r m_i^\alpha \omega_i$
30	7	$(1, 1, 1, 2, 1, 1)$	$(1, 0, -1, 1, -1, 1)$
31	7	$(0, 1, 1, 2, 2, 1)$	$(-1, 0, 0, 0, 1, 0)$
32	8	$(1, 1, 2, 2, 1, 1)$	$(0, 0, 1, 0, -1, 1)$
33	8	$(1, 1, 1, 2, 2, 1)$	$(1, 0, -1, 0, 1, 0)$
34	9	$(1, 1, 2, 2, 2, 1)$	$(0, 0, 1, -1, 1, 0)$
35	10	$(1, 1, 2, 3, 2, 1)$	$(0, -1, 0, 1, 0, 0)$
36	11	$(1, 2, 2, 3, 2, 1)$	$(0, 1, 0, 0, 0, 0)$

By using 3.1 and 3.2 we find two distinct maximal abelian ideals ( of equal dimension ), whose dimension is maximal.

- $\Psi_1 =$   
 $\{\alpha_1, \alpha_7, \alpha_{12}, \alpha_{17}, \alpha_{18}, \alpha_{22}, \alpha_{23}, \alpha_{26}, \alpha_{27}, \alpha_{29}, \alpha_{30}, \alpha_{32}, \alpha_{33}, \alpha_{34},$   
 $\alpha_{35}, \alpha_{36}\}$
- $\Psi_2 =$   
 $\{\alpha_6, \alpha_{11}, \alpha_{16}, \alpha_{20}, \alpha_{21}, \alpha_{23}, \alpha_{25}, \alpha_{27}, \alpha_{28}, \alpha_{30}, \alpha_{31}, \alpha_{32}, \alpha_{33}, \alpha_{34},$   
 $\alpha_{35}, \alpha_{36}\}$

Both of which have dimension 16.

# Sum Formula

Let  $\mathfrak{g}$  be a simple Lie algebra, if we grade with  $\tilde{\alpha}$  then we have the following two results, which can be used to construct maximal abelian ideals.

## Theorem

*Let  $\alpha_I$  be a maximal abelian ideal in a simple Lie algebra  $\mathfrak{g}$ . Then for all  $\alpha$  such that  $\alpha \in \mathfrak{g}_{(1)}$ , either  $\alpha \in I$  or  $\tilde{\alpha} - \alpha \in I$*

## Theorem

*Given a maximal abelian ideal  $I$ , let  $\alpha \in \mathfrak{g}_{(1)}^T$ . If  $\alpha \notin I$  then*

$$2n_i^\alpha - n_i^{\tilde{\alpha}} < 0$$

*for some  $i$ .*

# Illustration

Using the criteria set out above we can form the following sets  $I$  (where  $\mathfrak{a}_I$  is a maximal abelian ideal), by excluding one root  $\alpha \in \mathfrak{g}_{(1)}$ . We do this by looking for an index  $k$  such that  $n_k^{\tilde{\alpha}} = 2n + 1$  then form a new  $I$  for each  $\alpha \in \mathfrak{g}_{(1)}^T$  such that  $n_k^{\alpha} = n$  that we exclude. Below we have listed the  $\alpha$  we will exclude from  $I$  and the corresponding  $\alpha$  we must include in  $I$  by the above theorem. The highest root for  $E_7$  is  $\tilde{\alpha} = (2, 2, 3, 4, 3, 2, 1)$ . Beside each set  $I$  we provide its corresponding grading.

# Illustration

- $l_1$ :  $\alpha_{53} \notin l_1$  ( the highest  $\alpha \in \mathfrak{g}_{(1)}^T$  such that  $n_7^\alpha = 0$  ) hence  $\alpha_{34} \in l_1$ . Grading positions  $\{7\}$ .
- $l_2$ :  $\alpha_{50} \notin l_1$  ( the second highest  $\alpha \in \mathfrak{g}_{(1)}^T$  such that  $n_7^\alpha = 0$  ) hence  $\alpha_{39} \in l_2$ . Grading positions  $\{2, 7\}$ .
- $l_3$ :  $\alpha_{46} \notin l_1$  ( the third highest  $\alpha \in \mathfrak{g}_{(1)}^T$  such that  $n_7^\alpha = 0$  ) hence  $\alpha_{44} \in l_3$ . Grading positions  $\{4, 7\}$ .
- $l_4$ :  $\alpha_{47} \notin l_1$  ( the highest  $\alpha \in \mathfrak{g}_{(1)}^T$  such that  $n_5^\alpha = 1$  ) hence  $\alpha_{43} \in l_4$ . Grading positions  $\{5\}$ .
- $l_5$ :  $\alpha_{52} \notin l_1$  ( the highest  $\alpha \in \mathfrak{g}_{(1)}^T$  such that  $n_3^\alpha = 1$  ) hence  $\alpha_{37} \in l_5$ . Grading positions  $\{3\}$ .
- $l_6$ :  $\alpha_{48} \notin l_1$  ( the second highest  $\alpha \in \mathfrak{g}_{(1)}^T$  such that  $n_3^\alpha = 1$  ) hence  $\alpha_{42} \in l_6$ . Grading positions  $\{3, 6\}$ .
- $l_7$ :  $\{\alpha \in \mathfrak{g}_{(1)}^T\} \in l_7$  hence  $\{\alpha \in \mathfrak{g}_{(1)}^B\} \notin l_7$ . Grading positions  $\{3, 5, 7\}$ .

The above method will also determine the number of maximal abelian ideals for a given Lie algebra  $\mathfrak{g}$ .

# Questions

**Thank You:**  
**Any Questions/Comments**



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